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### Metadata of the article that will be visualized in OnlineFirst

1	Article Title	Widely applicable MATLAB routines for automated analysis of saccadic reaction times		
2	Article Sub- Title			
3	Article Copyright - Year	The Author(s) 2014 (This will be the copyright line in the final PDF)		
4	Journal Name	Behavior Research Methods		
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43		Address	Cambridge, UK
44		e-mail	
45		Received	
46	Schedule	Revised	
47		Accepted	
48	Abstract	Saccadic reaction time (SRT) is a widely used dependent variable in eye-tracking studies of human cognition and its disorders. SRTs are also frequently measured in studies with special populations, such as infants and young children, who are limited in their ability to follow verbal instructions and remain in a stable position over time. In this article, we describe a library of MATLAB routines (Mathworks, Natick, MA) that are designed to (1) enable completely automated implementation of SRT analysis for multiple data sets and (2) cope with the unique challenges of analyzing SRTs from eye-tracking data collected from poorly cooperating participants. The library includes preprocessing and SRT analysis routines. The preprocessing routines (i.e., moving median filter and interpolation) are designed to remove technical artifacts and missing samples from raw eye-tracking data. The SRTs are detected by a simple algorithm that identifies the last point of gaze in the area of interest, but, critically, the extracted SRTs are further subjected to a number of postanalysis verification checks to exclude values contaminated by artifacts. Example analyses of data from 5- to 11-month-old infants demonstrated that SRTs extracted with the proposed routines were in high agreement with SRTs obtained manually from video records, robust against potential sources of artifact, and exhibited moderate to high test-retest stability. We	

		propose that the present library has wide utility in standardizing and automating SRT-based cognitive testing in various populations. The MATLAB routines are open source and can be downloaded from http://www.uta.fi/med/icl/methods.html.
49	Keywords separated by ' - '	Vision - Attention - Oculomotor - Disengagement - Infant - Cognitive development - Saccadic reaction time
50	Foot note information	The online version of this article (doi:10.3758/s13428-014-0473-z) contains supplementary material, which is available to authorized users.

### Electronic supplementary material

**ESM 1** (DOCX 71 kb)

**ESM 2** (DOCX 40 kb)

ESM 3 (DOCX 126 kb)

Behav Res DOI 10.3758/s13428-014-0473-z

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# Widely applicable MATLAB routines for automated analysis of saccadic reaction times

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Abstract Saccadic reaction time (SRT) is a widely used 13dependent variable in eye-tracking studies of human cognition 1415and its disorders. SRTs are also frequently measured in studies 16with special populations, such as infants and young children, who are limited in their ability to follow verbal instructions 1718 and remain in a stable position over time. In this article, we describe a library of MATLAB routines (Mathworks, Natick, 19MA) that are designed to (1) enable completely automated 2021implementation of SRT analysis for multiple data sets and (2) cope with the unique challenges of analyzing SRTs from eye-22tracking data collected from poorly cooperating participants. 2324The library includes preprocessing and SRT analysis routines. 25The preprocessing routines (i.e., moving median filter and interpolation) are designed to remove technical artifacts and 26missing samples from raw eye-tracking data. The SRTs are 2728detected by a simple algorithm that identifies the last point of gaze in the area of interest, but, critically, the extracted SRTs 29are further subjected to a number of postanalysis verification 30 checks to exclude values contaminated by artifacts. Example 31 32 analyses of data from 5- to 11-month-old infants demonstrated that SRTs extracted with the proposed routines were in high 33agreement with SRTs obtained manually from video records, 3435robust against potential sources of artifact, and exhibited moderate to high test-retest stability. We propose that the 36

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Medical Research Council Cognition and Brain Sciences Unit, Cambridge, UK present library has wide utility in standardizing and automat-<br/>ing SRT-based cognitive testing in various populations. The<br/>MATLAB routines are open source and can be downloaded<br/>from http://www.uta.fi/med/icl/methods.html.37

KeywordsVision · Attention · Oculomotor ·41Disengagement · Infant · Cognitive development · Saccadic42reaction time43

A number of studies in nonhuman primates and humans have 44 measured visuospatial orienting (i.e., rapid orientation of gaze 45and attention to a new stimulus appearing in a new spatial 46location) as a dependent variable to examine a variety of 47 cognitive processes (Hutton, 2008; Johnston & Everling, 48 2008; Luna, Velanova, & Geier, 2008; McDowell, 49Dyckman, Austin, & Clementz, 2008). These include studies 50examining the development and neurocognitive bases of fun-51damental components of attention (Hunnius, 2007; Luna 52et al., 2008), the interactions between attentional and emo-53tional processes (Fox, Russo, Bowles, & Dutton, 2001; 54Georgiou et al., 2005; Leppänen et al., 2011; Nakagawa & 55Sukigara, 2012), and the associations of core attention pro-56cesses with higher-level cognitive (Franceschini, Gori, 57Ruffino, Pedrolli, & Facoetti, 2012; Rose, Feldman, & 58Jankowski, 2012) and emotion regulatory (Bar-Haim, 2010; 59Compton, 2000; Hakamata et al., 2010) processes. There is 60 also emerging evidence from studies with special populations 61 suggesting that deficits in visuospatial orienting may provide 62 valuable markers for certain neurodevelopmental risk condi-63 tions, such as preterm birth (Hunnius, Geuze, Zweens, & Bos, 64 2008), autism spectrum disorders (Chawarska, Volkmar, & 65 Klin, 2010; Elison et al., 2013; Elsabbagh et al., 2009), and 66 neurocognitive deficits associated with fetal alcohol exposure 67 (Green et al., 2009). 68

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69 One of the most common ways to examine visuospatial orienting is to measure the latency of saccadic eye movements 70 from the stimulus at fixation toward the location of the new 7172stimulus in a new spatial location (i.e., saccadic reaction times, 73 or SRTs). Various techniques have been used to analyze saccadic eye movements. Most often, manual coding of video 74recordings is performed to analyze participants' eve move-75ments (e.g., Haith, Hazan, & Goodman, 1988; Leppänen 76et al., 2011; Rose, Feldman, & Jankowski, 2004). Temporal 77resolutions of up to 50 Hz are available using these techniques 78(Elsabbagh et al., 2009); spatial resolution is low, but this is 7980 nonessential for tasks such as the present task, in which the aim is only to estimate the point at which the eyeball first deviates 81 from the midline following a successful fixation. However, 82 manual coding of video records is highly labor intensive, 83 particularly with larger data sets, and prone to human error or 84 biases. Another technique is to use electrooculography (EOG) 85 to measure electrical potential changes resulting from the rota-86 tion of the eyes (e.g., Csibra, Tucker, & Johnson, 1998; 87 Kemner, Verbaten, Cuperus, Camfferman, & van Engeland, 88 1998). The temporal resolution of these techniques is high. 89 Again, spatial resolution is low, but this is nonessential for 90 91present purposes. However, these techniques involve the administration of electrodes, which can be distressing for some 92participants, perturbing data and causing data loss. 93

94In the last decade, there has been a rapid increase in the use of new corneal reflection eye-tracking techniques to measure 95eve movements, particularly in studies involving special pop-96 97 ulations such as infants and young children. In essence, eve 98 tracking is a noninvasive technology that has the advantage over other techniques in that it offers the possibility for auto-99100 mated acquisition and analysis of eye movements at a high spatial and temporal resolution, is less labor intensive, and 101 minimizes the possibility of human error or biases (Aslin, 102 2012; Elison et al., 2013; Gredebäck, Johnson, & von 103Hofsten, 2009; Morgante, Zolfaghari, & Johnson, 2012; 104 Oakes, 2012). A particular advantage of eye-tracking technol-105106ogies for researchers measuring SRTs as the dependent variable is that the metrics of interest can be extracted from the 107 gaze data by using a simple, automated routine (e.g., an 108algorithm that identifies the time point at which the gaze 109 leaves or enters an area of interest). Recent studies have, 110however, demonstrated that the practice of such analyses is 111112complicated by several limitations in the temporal and spatial accuracy of current eye-tracking technologies, especially 113when used with poorly cooperating participants (Frank, Vul, 114 & Saxe, 2012; Morgante et al., 2012; Shic, Chawarska, & 115Scassellati, 2008a, 2008b; Wass, Smith, & Johnson, 2013). 116Similar discussions are ongoing in the adult literature 117(Blignaut & Wium, 2014; Holmqvist et al., 2011; Nyström, 118119Andersson, Holmqvist, & Weijer, 2013).

Recently we have investigated two aspects of eyetracker data accuracy and quality that appear to be particularly variable in studies with poorly cooperating participants-namely, pre-122cision, the consistency in the reported position of gaze between 123samples, and robustness, how broken or fragmented contact 124with the tracker is during recording (Wass, Forssman, & 125Leppänen, 2013). Our study showed that, if widely used ana-126lytical techniques are followed, a number of key dependent 127variables in eve-tracking experiments can be disrupted by 128between- and within-subjects variations in these aspects of data 129quality. For example, we found that less precise data can appear 130to suggest a reduced likelihood to look at a narrowly defined 131area of interest (such as the eyes in a face, relative to the 132mouth). We also found that less robust data can appear to 133 manifest as shorter fixation durations and shorter first 134look/visit duration. Finally, we found that less robust tracking 135may manifest as longer SRTs (e.g., time to first fixation). 136Together, these results suggest the importance of taking steps 137to control for data quality before performing final analyses. 138

Given the obvious potential of the eye-tracking technology 139for SRT analysis (and the widespread use of SRTs in behav-140ioral studies), we set out a project to examine whether auto-141 mated analyses of SRTs from eye-tracking data can be imple-142mented in a way that is robust against variations in data quality 143and potential sources of artifacts. A further goal of the project 144was to develop techniques that could be used as a standardized 145method in a number of SRT paradigms and studies, including 146studies with poorly cooperating participants. The project re-147 sulted in a library of MATLAB (Mathworks, Natick, MA) 148routines for preprocessing and analysis of SRTs from eye-149tracking data (http://www.uta.fi/med/icl/methods.html). The 150preprocessing routines consist of data interpolation and 151median filtering function that are applied to raw eye tracking 152to cope with problems in data quality. The SRT analyses 153routines include algorithms for detecting saccadic eye 154movements and several postanalysis "check" functions that 155enable the user to automatically identify (and reject) SRTs that 156have a high likelihood of being inaccurate or contaminated by 157artifacts. To test the proposed routines, we used data from 158human infants to compare the SRTs obtained by the automated 159scripts with SRTs obtained manually from video records, 160examined the robustness of the analyses against indicators of 161 data quality (precision and robustness) and accuracy of 162calibration, and analyzed the test-retest stability of the SRTs 163over repeated testing of the same infants from 5 to 7 months of 164age and from 9 to 11 months of age. 165

#### Method

Typical SRT paradigms 167

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A widely-used paradigm for measuring SRTs includes the168presentation of two stimuli with a slight (e.g., 1,000 ms) onset169asynchrony (Aslin & Salapatek, 1975; Csibra et al., 1998;170

Behav Res

171Elison et al., 2013; Elsabbagh et al., 2009; Hood, 1995; Hunnius, 2007; Hunnius, Geuze, & van Geert, 2006; 172Johnson, Posner, & Rothbart, 1991; Scerif et al., 2005). Typ-173174ically, the first stimulus is presented at the center of the 175stimulus display, and the second laterally to the left or right periphery. There are several variations of the paradigm that 176177 place varying demands for attention (see Fig. 1 for examples of the typical variations), but the SRTs are invariably mea-178sured as the latency at which the point of gaze moves from the 179location of the first stimulus to the location of the second 180stimulus (i.e., leaves the area of the first stimulus area or, 181 182alternatively, enters the area of the second stimulus).

The SRT paradigms used with infants are similar to those 183used in older (verbal) children and adults, with the exception 184 that infant paradigms rely on infants' spontaneous tendency to 185orient to new stimuli, whereas older children and adults are 186 187 typically given verbal instructions to orient to the lateral stimuli (Green et al., 2009; Luna et al., 2008; McDowell 188 189et al., 2008; Müri & Nyffeler, 2008). This specific aspect of infant paradigms is important, since infants' spontaneous sac-190cadic eve movements appear to depend significantly on the 191properties of the attention-grabbing stimulus. For example, 192193 studies using static geometric shapes as lateral stimuli have shown a steady reduction in visuospatial orienting to the 194lateral stimulus after repeated trials (Leppänen et al., 2011), 195196possibly reflecting simple habituation of orienting to the peripheral stimulus or, alternatively, infants' voluntary inhibition 197of repeated attention shifts to the peripheral stimulus 198 199 (Holmboe, Fearon, Csibra, Tucker, & Johnson, 2008). Our 200 unpublished data (shown in Supplementary Fig. 1) suggest that the attention shift rate remains reasonably steady when the 201202peripheral stimulus is changed from a static picture to a dynamic animation, and the onset of the animation is 203

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programmed to be contingent upon eve gaze entering the 204target area (i.e., the animation starts to play when the infant's 205point of gaze reaches the area of the animation). Such gaze-206 contingent features can be programmed in most software 207integrated with evetrackers (for example, in E-Prime software 208 or Psychtoolbox and Talk2Tobii toolbox or the Tobii Analyt-209 ics SDK for interfacing with Tobii eye-tracking systems, Tobii 210 Technology, Stockholm, Sweden). 211

Analysis of SRTs from eye-tracking data

#### Raw data

Most eye-tracking software provide raw gaze data, with the 214following variables that are critical for the present analyses: 215(1) x- and y-coordinates for the point of gaze on the screen 216(separately for each eye), sampled at the specified temporal 217resolution (60-300 Hz in most evetrackers used with infants), 218(2) time stamps for each data sample (e.g., "Tobii Eye Tracking 219or "TETTime" provides the time stamps at microsecond ac-220curacy), (3) information about the "validity" indicating the 221reliability of tracking at each time point (e.g., Tobii TX300 222uses codes 0-4, with codes 0 or 1 typically considered to 223indicate technically reliable gaze tracking), and (4) additional 224time stamps to provide exact synchronization between eye 225tracking and stimulus presentation (e.g., a column specifying 226the stimulus that is currently on screen). The x-coordinates of 227the gaze location for one overlap SRT trial of a 7-month-old 228participant are shown in Fig. 2 (the y-coordinates were omitted 229from the visualization because these tend to remain relatively 230stable across time in paradigms in which the first and the 231second stimuli are aligned on the vertical axis). The visuali-232zation illustrates two common characteristics of eve-tracking 233



Fig. 1 An illustration of the paradigm used to measure saccadic reaction times and visuospatial orienting. In the "Baseline" condition, the first (central) stimulus is extinguished upon the onset of the second (lateral) stimulus. In the "Gap" condition, the first stimulus is extinguished before the onset of the second stimulus. In the "Overlap" condition, the first

stimulus remains visible throughout the trial. The overlap condition differs from the first two in requiring an active process of attention disengagement from the stimulus at fixation prior to the movement of the point of gaze to the new stimulus and, therefore, saccadic reaction times in this condition are typically longer



**Fig. 2** *X*-coordinates of gaze location as a function of time for one trial of a 7-month-old infant. The data were recorded in a paradigm involving a central stimulus (a picture of a face or a facelike pattern) and a lateral stimulus (a geometric shape). The lateral stimulus was presented at 1,000 ms. Raw values for the point of gaze are shown by the narrow

Q1 234 data collected from infants (Wass et al., 2013a, b). First, the 235 raw data includes occasional periods of missing or unreliable 236 data (shows as gaps in the thick red line at the y = 0). Second, 237 the point of gaze undergoes constant fluctuation at periods of 238 fixation (a problem known as low precision of eye tracking). 239 The visualization further shows that the *x*-coordinates show an

abrupt change at the time of the saccade.

#### 241 Preprocessing: interpolation and filtering

The attrition rate in infant eve-tracking studies can be relative-242ly high due to fragmented or low-quality data caused by, for 243example, poor calibration, excessive movements, or lapses in 244attention. Analyses presented in the Supplementary Results 245246show that in eyetracker data obtained from typical 12-montholds under optimum laboratory testing conditions, 17.9 % of 247248 all available data samples were missing and 62 % of all usable data segments obtained were of under 1 s in duration (see 249250Supplementary Fig. S2). To address this problem, we imple-251mented an interpolation routine that identifies the last record-252ed x- and y-coordinates for one or both of the eyes and 253continues these values forward until the data come back online 254(Wass et al., 2013b). In our approach, the interpolation routine 255is applied to all periods of missing data regardless of their duration, but importantly, the user should specify a 256postanalysis check function to identify trials that were con-257258taminated by extensive interpolations (i.e., unreliable trials), as described below. 259

Another common problem with eye-tracking data is abrupt 260261changes in the point of gaze that are attributable to technical artifacts. For example, in the data shown in Fig. 2, the x-262coordinate changes abruptly from~.5 to 0 (equaling a 23° 263264change in visual angle) for the duration of a few milliseconds 265at around 1,550 ms poststimulus. Removing such spikes from 266the data is critical to avoid false SRTs occurring when a spike crosses the AOI border during the window of interest (Fig. 2). 267

green line, and interpolated and median-filtered values by the thick blue line. Saccade is indicated by an abrupt change in the *x*-coordinates  $\sim$ 1,700 ms from the start and is measured as the last sample before the point of gaze leaves the area of the first stimulus (indicated by an open circle)

To remove this artifact, we implemented a moving median268filter. The length of the median filter can be specified by the269user, and both ends of the analysis period are truncated with270the first or last available sample to enable the filter to be271applied for the whole analysis period.272

Analysis of SRTs

The SRTs are determined as the last data point in the first 274stimulus area, preceding the transition of the gaze to the 275direction of the second stimulus area. The areas of interest 276for the first and second stimulus can be adjusted by the user. 277The SRT for the example data in Fig. 2 is shown as a small 278open circle superimposed on the raw and preprocessed gaze 279data. If no gaze shift is recorded within the specified analysis 280period (e.g., the point of gaze does not move from the first 281stimulus to the second stimulus within the specified time 282window), the value of the SRT is determined as the last data 283point of the analysis window (e.g., 1,000 ms for an analysis 284window ranging from 150 to 1,000 ms poststimulus). As we 285explain below, condition and subject-specific mean SRTs can 286be calculated on the basis of trials with gaze-shifts only or by 287using an index that combines data from all trials (i.e., trials 288with and without gaze shifts). 289

Postanalysis verification checks

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Postanalysis verification checks were implemented to elimi-291nate unreliable SRTs from the data. First, the user can set a292 minimum and a maximum for the duration of the first and 293second stimuli to eliminate trials where the actual duration of 294gaze data for a trial deviates from the set duration of the trial 295(i.e., the eyetracker fails to record for the entire duration of the 296trial, or the software used for stimulus presentation fails to 297 present the stimulus for the required duration). In our experi-298ence, such deviations exist but are fortunately very rare in the 299

Behav Res

300 software interfacing with Tobii evetrackers. Second, the user can set an upper limit for the interpolated segments (e.g., 301 302 200 ms) to eliminate the possibility that real SRTs (e.g., 303 central-lateral-central gaze transitions as illustrated in 304 Fig. 2) are missed due to interpolation, and erroneously determined as maintenance of the gaze within the area of interest. 305 Third, a border violation check is included to detect transitions 306 307 between areas of interest that were missed during interpolated data segments. The rationale behind this function is that 308 interpolating segments of missing data is acceptable if the 309 gaze remained within the area of interest throughout the 310311 interpolated period (assuming that the longest accepted interpolated segment was too short to enable quick gaze shifts 312 between areas of interest during the period of interpolation). 313 However, if the area changes during the missing data segment, 314 then a gaze shift has taken place during the missing data 315segment, and the disengagement time from the original area 316 to the new area cannot be reliably determined. In these cases, 317 318 border violation is noted, and the SRT is excluded from the final data. Finally, a user-defined criterion is used to detect 319trials without minimum required fixation time for the first area 320 of interest prior to saccade. This function ensures that trials 321 322 during which the gaze was not sufficiently long in the area of interest for the first stimulus prior to the saccade (e.g., because 323 the participant did not pay attention or looked away from the 324 325 first stimulus) were eliminated from further analyses.

326 SRT indexes

327 The results of the SRT analyses are saved into two separate csv (comma separated values) files. The first of these reports key 328 results of the analyses on a trial-by-trial basis, including 329 information about participant number, trial number, user-330 specified codes for stimulus conditions, key data used in the 331 SRT analysis, and the result of the SRT analysis (i.e., SRT, or 332 information that the SRT was rejected). The second csv file 333 334 provides aggregated data summarizing the number of valid trials, average SRTs, and number of trials without SRTs (miss-335ing saccades) as a function of stimulus condition. If the 336 analyses are applied for data from multiple participants, the 337 data for separate participants are provided on a row-by-row 338 basis in a format that can be directly read by most statistical 339analyses packages. 340

341The average SRT is calculated as the mean of valid gaze shift latencies, excluding trials without gaze shifts (i.e., trials 342 on which the gaze remains in the location of the first stimulus 343 for the entire duration of the analysis window) and 344 nonscorable trials that failed the postanalysis verification 345checks. It is noteworthy, however, that in studies with special 346 populations, this approach can result in a number of trials 347 348 being excluded from the analysis in some experimental conditions (e.g., the probability of trials without gaze shifts can be 349 relatively high in cognitively demanding tasks or tasks 350

involving disengagement from complex stimuli such as faces 351and facial expressions; Hutton, 2008; Leppänen et al., 2011). 352 For this reason, we also added an index that includes all valid 353 trials in the SRT analysis (i.e., trials with a gaze shift and trials 354without a gaze shift, excluding nonscorable trials that failed 355 the postanalysis checks) and describes the proportion of at-356 tentional dwell-time on the first stimulus of the time window 357 available for the saccade (i.e., the time interval from the 358 shortest to the longest acceptable SRT). For example, in a 359 typical paradigm with a 150- to 1,000-ms window for atten-360 tion disengagement, the index would be calculated as 361

SRT index = 
$$\frac{\sum_{i=1}^{n} \left( 1 - \frac{1000 - x_i}{850} \right)}{n}$$
,

where x is the time point of saccadic eye movement (i.e., last 364 gaze point in the area of the first stimulus preceding a saccade 365 toward the peripheral stimulus) and n is the number of 366 scorable trials in a given experimental condition. In this index, 367 the shortest acceptable SRT (150 ms) results in 0, and the 368 longest possible SRT (or lack of saccade, which is equal to the 369 last measured data point at the first stimulus at 1,000 ms) 370 results in 1. 371

#### Results and discussion

To test the performance of the proposed approach to infant 373 SRTs, we used data from two ongoing longitudinal studies. 374We used the example data for the purposes of (1) optimizing 375 user-defined setting for a typical infant SRT paradigm, (2) 376 comparing automatically extracted SRTs with those obtained 377 manually from video records, (3) examining the robustness of 378 the automated analyses against variations in calibration, num-379 ber of trials, and data quality, and (4) testing the test-retest 380 reliability of the analyses. 381

#### Example data

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The first example data consisted of infants from an ongoing 383 longitudinal study (study 1) that began in April 2012 and 384 consisted of of laboratory assessments at 5, 7, 12, 24, and 385 48 months of age (Forssman et al., 2013; Kaatiala, Yrttiaho, 386 Forssman, & Leppänen, in press; Peltola, Hietanen, Forssman, 387 & Leppänen, 2013). A total of 126 (55 females) infants were 388 enrolled in the study, and all available data from the 5-month 389 (M = 152.43 days, SD = 3.64 days) and 7-month (M =390 213.85 days, SD = 4.39 days) visits were used in the present 391 analyses, with the exception of data from one infant who was 392 born preterm (<37 weeks). The second data set (study 2) 393 consisted of 21 infants serving as a control group in a 394

### AU 1919 1342 Rub S73 Pro # 0 16/04 P014

395randomized-controlled study examining the training of attentional control in infants (Forssman, Wass, & Leppänen, 2014. Q2 396 Study 2 included assessments at 9 months of age (M =397 283.63 days, SD = 3.80 days) and two postassessments at 398 399 9.5 and 11 months, respectively. All available data from study 2 were used in the present analyses. Ethical permissions for 400 401 the studies were obtained from the Ethical Committee of Tampere University Hospital or Committee of Research 402Ethics at the University of Tampere. In both studies, an 403 404 informed consent was given by the parents of the participants 405before the start of the study.

406 In the example studies, the infants sat on their parents lap at 407 a ~60-cm viewing distance in front of a corneal-reflection eyetracker (Tobii TX300, Tobii Technology, Stockholm, Swe-408 den), integrated with a 23-in. monitor. The monitor subtended 409~46° in the x dimension and ~27° in the y dimension. Before 410 testing, the eyetracker was calibrated by using the infant 411 412 calibration procedure within the Tobii Studio software (study 4131) or a custom-written MATLAB script (study 2). The calibration proceeded by showing the infant an audiovisual ani-414 mation sequentially in five locations on the screen. The out-415come of the calibration procedure was read from an illustra-416 417 tion showing the offset between measured gaze points and the center of the given calibration location. If the first calibration 418 was not successful (i.e., one or more calibrations were missing 419420 or were not properly calibrated), the calibration was repeated at least two times to attain satisfactory calibration for all five 421 422 locations. If one or more calibration points were missing after 423 >2 attempts at recalibration, the final calibration outcome was 424 accepted, and the experiment was started. Because our study did not rely on a precise spatial tracking accuracy (see below), 425426 we found it most practical to accept all infants for the data analyses (i.e., infants with fewer than five satisfactory calibra-427 tion points) but examined the potential impact of the calibra-428 429tion outcome on the measures of interest below. For the 430 younger participants (i.e., 5- to 7-month-olds; study 1), attaining any successful calibration point even after several 431432 recalibration attempts was not always possible; the experiment 433 was then run without eye tracking, and infants' eye move-434ments were analyzed from the video recording.

435SRTs were measured by using a paradigm in which an attention-grabbing stimulus (a red circle or an animation) 436 attracted the infant's attention to the center of the screen. After 437 438 the infant fixated the attention getter, as determined on the 439basis of video monitoring (study 1) or eye tracking (study 2), the trial was initiated manually by the experimenter (study 1) 440441 or automatically by a gaze-contingent script (study 2). Two stimuli were presented on each trial. The first stimulus was a 442picture of a face or a facelike pattern (Forssman et al., 2013) 443 that measured ~14° of horizontal visual angle and was pre-444 445sented at the center of the screen for 4,000 ms. The second (a 446 geometric shape or an animation) was presented 1,000 ms after the onset of the first stimulus on the left or right side of 447

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the screen ( $\sim 14^{\circ}$  from the center) and remained on the screen 448 for 3,000 ms. In study 1, the second (lateral) stimulus was a 449geometric shape (a black-and-white checkerboard pattern or 450 vertically aligned circles). In study 2, the lateral stimulus was 451an animated movie that started to play upon the infant's first 452 fixation (point of gaze) to the target area. The analyses of 453study 1 data included the first 24 trials out of a total of 48 trials 454(as described in Forssman et al., 2013), unless stated other-455wise. The analyses of study 2 data included all 48 trials. In 456 study 1, the test was written on E-Prime software and E-Prime 457extensions for Tobii (Psychology Software Tools, Inc.) inter-458facing with a Tobii TX-300 eyetracker. In study 2, the cali-459 bration and the disengagement script were run on custom-460written MATLAB scripts, Psychtoolbox, and the Talk2Tobii 461 toolbox,<sup>1</sup> interfacing with a Tobii TX-300 evetracker. 462

#### User-defined parameters for SRT analyses

On the basis of the iterative analysis of a subsample of 464 participants from study 1 (n = 15), the user-defined parameters 465were set as follows. (1) The minimum duration for the first 466 stimulus prior to the presentation of the second stimulus was 467 900 ms, the maximum duration 1,100 ms, and the minimum 468 duration for the second stimulus 1,000 ms.<sup>2</sup> (2) A 37-sample 469 median filter was used to filter the data, equaling 123 ms for 470data sample at 300 Hz; this median filter was considered 471 sufficient to remove technical artifacts without losing impor-472tant data such as saccades that typically take 100-130 ms to 473program (Inhoff & Radach, 1998; Radach, Heller, & Inhoff, 474 1999). (3) Data with validity codes 0 and 1 were accepted as 475valid points of gaze (cf. Tobii TX-300 user manual); all data 476with validity codes 2 or higher were interpolated. (4) The 477 threshold for saccade (i.e., x-coordinate value that was used 478to detect eye movements away from the location of the first 479 stimulus) was set at 30 % from the edges; this threshold, 480 including a  $\sim 2.7^{\circ}$  margin on both sides of the face image, 481was capable of detecting 75 out of 76 target-directed saccades 482in the test subsample without resulting in false positives or 483 underestimation of saccade latencies. (5) The threshold for the 484 longest interpolated (nonvalid) segment was set to 200 ms; 485this criterion helped to retain data in the analysis while also not 486 resulting in an unacceptable risk of false negatives (i.e., if the 487period of interpolation is sufficiently long, the likelihood that 488 gaze transitions from the first stimulus to the second stimulus 489 and back [i.e., 1st-2nd-1st] take place during the interpolation 490 period, resulting in false negative for saccades). (6) The min-491 imum fixation for the first stimulus prior to fixation was set at 492.70 of the total possible gaze samples available during the 493

<sup>&</sup>lt;sup>1</sup> http://psy.ck.sissa.it/t2t/About\_T2T.html

 $<sup>^2</sup>$  This criterion was used to detect rare cases in which the software and hardware failed to present the stimuli (or collect gaze data) for the required duration.

Behav Res

494 presentation window (including interpolated data). (7) The
495 minimum and maximum accepted disengagement times were
496 set at 150 and 1,000 ms, respectively (Forssman et al., 2013;
497 Leppänen et al., 2011).

498 Percentage of valid SRTs

499Of the initial data from study 1, the analyses of SRTs at 5 months of age were performed for 95 infants who had data 500 available. For the remaining infants in the sample, data were 501missing for various reasons, including delayed enrollment to 502503 the study (n = 7) and technical difficulties/fussiness (n = 23). The analyses of SRTs at 7 months were conducted for 118 504participants. Data for the remaining participants were missing 505because of dropouts (n = 2) or technical difficulties/fussiness 506(n = 5). For the analysis of the 5-month data, valid SRTs were 507 obtained for 68.3 % of trials. For the analysis of 7-month data, 508valid SRTs were obtained for 79.4 % of the trials. For study 2, 509510the percentage of valid trials was 73.2 % for the 9-month assessment, 74.0 %, for the 9.5-month assessment, and 51171.8 % for the 11-month assessment. 512

513 Comparisons of automatically versus manually extracted514 SRTs

515To validate the proposed eye-tracking approach for the analysis of SRTs, we compared the automatically extracted SRTs 516with those obtained manually from video records of partici-517pants' eye movements, using data from study 1. A coder who 518was blind to the stimulus condition coded saccadic eye move-519ments from the videos by using a frame-by-frame (30 frames 520521per second) playback. The comparisons of eye-tracking and video data were conducted on a trial-by-trial basis using data 522from trials with a valid SRT (or a value of 1,000 ms indicating 523a missing gaze shift) in both data sets. For the 5-month 524525assessments, a total of 1,097 trials with overlapping eye-526 tracking and video data were available. The temporal discrep-527ancy between the automatically and manually obtained SRTs was < 100 ms for 1,046 out of 1,097 trials (95.4 %; mean 528 difference, 24.1 ms; median, 13.2; 95 % CI, 18.2-28.9). For 529530the 7-month assessments, 1,690 trials with overlapping eyetracking and video data were available. The temporal discrep-531ancy between the automatically and manually obtained SRTs 532533was <100 ms for 1,648 out of 1,690 trials (97.5 %; mean difference, 20.3 ms; median, 10.0; 95 % CI, 14.5-25.4). These 534results are in accordance with the results of a previous study 535examining the correspondence of automatic and manually 536coded saccades in a different paradigm (Shukla, Wen, 537White, & Aslin, 2011). 538

The relatively rare cases of large (>100-ms) discrepancy
values between automated and manual SRT analyses (2.5 %4.6 % of trials) consist mostly of trials on which the infant's
saccade to the lateral distractor was completed in two phases

(i.e., the first movement close to the edge of the area of the first 543stimulus was followed by a second eye movement toward the 544target), and the eye-tracking and video-based analyses detect-545ed the onset of the saccade at different points in time. Other 546reasons for larger discrepancies included apparent false posi-547 tives in manual coding, as well as other technical or unknown 548reasons. Examples of the typical trials resulting in larger 549 discrepancy are shown in Supplementary Fig. 2. 550

Sensitivity to calibration outcome and number of valid trials 551

In studies with poorly cooperating participants, the outcome 552of the calibration procedure and the number of trials available 553for analyses can vary substantially between participants. To 554examine whether the proposed method of SRT analysis is 555robust against problems in calibration, we used data from 556the 5-month visit (study 1) as variations in calibration tended 557 to be highest in this data set. We examined whether the trial-558by-trial error associated with automated SRT calculation, as 559assessed by the difference in automatically and manually 560 detected SRTs, was higher in infants with one or more missing 561calibration points (33.5 % of participants). This analysis 562showed, as compared with the whole-sample analyses report-563ed above, that the proportion of >100-ms errors was only 564slightly higher in the subsample with poor calibration (i.e., 5654.6% in the whole sample vs. 5.6% in the subsample with 566 incomplete calibration). To examine whether there is any 567 systematic association of the SRTs with the number of valid 568trials available for analysis, we used data from all 48 trials in 569studies 1 and 2 to calculate correlations between the stimulus 570condition-specific average SRTs and the number of valid trials 571available for analysis (range: 3.5-12 and 3.6-16 per condition 572in the example studies 1 and 2, respectively).<sup>3</sup> The correlations 573(Pearson's r) were low and not significant for all comparisons 574[5 months, r(74) = -.21 - .15, ps > .05; 7 months, r(103) =575-.18-.03, ps > .05; and 9 months, r(19) = -.37-.02, ps > .05]. 576These results suggest that there is no direct relationship be-577tween the SRTs as indexed here and the number of accepted 578trials (Fig. 3). 579Q3

Sensitivity to variations in data quality

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We also examined whether the accuracy of the SRT analysis 581 was associated with two indices of data quality: (1) precision 582 (i.e., the degree to which reporting of the position of gaze is 583 consistent between samples) and (2) robustness (i.e., how 584 broken or fragmented contact is with the eyetracker during 585 recording). The analyses were performed using data from the 586 5-month visit in study 1. 587

 $<sup>\</sup>frac{3}{3}$  Consistent with the criteria used in previous studies (e.g., Forssman et al., 2013), participants with three or more valid trials per condition were included in the analysis.



Fig. 3 Histograms showing the distribution of difference values between automatically and manually coded saccadic reaction times (i.e.,  $SRT_{eye-tracking} - SRT_{video}$ ) for all trials in the 5- (a) and 7-month (b) assessments

588 In order to examine data quality, eye-tracking data segments were excerpted either for the period between the start of 589590each trial and the time of first saccadic eye movement (as coded using the proposed algorithms) or for instances in 591592which no disengagement was recorded, the first 2,000 ms of 593the trial. Precision was calculated using the algorithms described in Wass et al. (2013a). Robustness was previously 594calculated as the mean duration of usable data fragments 595596(Wass et al., 2013a). However, this was not considered optimal in the present instance, since the duration of data seg-597598ments entered into the analysis was variable; instead, we 599estimated robustness by calculating the proportion of unavail-600 able data within each trial (following, e.g., Holmqvist et al., 601 2011).

To examine whether the accuracy of the SRT analysis (i.e.,
the difference in the eye-tracking and video-based coding)
differed between trials with high- versus Low-quality data,
we used median splits to divide the trial-by-trial data into trials
with high versus low precision and trials with high versus low



Fig. 4 Percentage of trials with large (>100-ms) saccadic reaction time errors in analyses with the proposed preprocessing routines, 2.7° margins on the sides of the first image, and postanalysis checks versus analyses without the preprocessing routines, widened margins, and postanalysis

robustness. We then examined whether the number of trials 607 with large SRT errors (>100-ms difference in automatic vs. 608 manual coding) differed significantly between the trial groups 609 by using Pearson's chi-square test. We chose to examine the 610 number of large SRT errors, instead of mean SRT error values, 611 because of the limited temporal resolution of the video coding. 612 The results showed that the number of large SRT errors was 613 generally low (3.3 %–4.9 %) in the analyses conducted with 614 the new routines and user-defined settings and that these 615numbers did not differ between trials with high versus low 616 precision (p = .19) or between trials with high versus low 617 robustness (p = 26; Fig. 4). 618

We next recalculated the SRTs in our example data by 619 using a "typical" approach without the modifications we have 620 incorporated in this article and examined whether the accuracy 621 of these analyses was associated with data quality (as has previously been reported by Wass, Forssman, et al., 2013). 623 This analysis was also aimed at establishing the importance of 624 the proposed pre- and postanalysis routines and criteria in the 625



checks. The percentages are presented separately for trials with low versus high data quality based on median splits of data precision and robustness indices

Behav Res



Fig. 5 Longitudinal association of saccadic reaction times (SRTs) measured from the same infants at 5 and 7 months and at 9, 9.5, and 11 months

SRT analysis. The typical analysis was performed without 626 627 applying the proposed preprocessing and postanalysis verification routines and with narrower margins on the sides of the 628 first image (i.e., 1° instead of 2.7°). The trial-by-trial error in 629 630 the SRT calculation (i.e., eye tracking - video) and the parameters reflecting data quality were calculated as described 631 above. Results suggested that there was a significant relation-632 ship between the number of >100-ms SRT errors and data 633 precision,  $\chi^2 = 28.5$ , p < .001,  $R^2 = .03$ , and between the 634 number of >100-ms SRT errors and data robustness,  $\chi^2 = 15.8$ , 635  $p < .001, R^2 = .01$ . As is shown in Fig. 4, the number of large 636 SRT errors was notably higher when the typical approach 637 without the pre- and postanalysis routines was used to analyze 638 trials with less precise or robust data. Together, these results 639 640 indicate that the proposed preprocessing and postanalysis check routines are particularly important in analyzing SRTs 641 642 from low-quality data.

#### 643 Test–retest reliability

Previous longitudinal research (Hunnius et al., 2006) has 644 645 shown that disengagement undergoes a relatively rapid devel-646 opmental course (i.e., age-related increase in frequency and decrease in latency) during the first months of life and that this 647development appears to stabilize at 5-6 months of age. Given 648 649 these findings, we expected stability in the SRTs over time in the age range studied in the example data set. When all 48 650 trials in both studies were included in the analyses (and after 651 652 excluding participants with < 3 trials per experimental condition), longitudinal data were available for 68 infants at 5 and 653 7 months (study 1) and 19 infants from 9, 9.5, and 11 months 654of age (study 2). The test-retest correlations of overall mean 655 656 SRT indices are shown in Fig. 5. The SRT index was only moderately correlated between 5 and 7 months, r(68) = .48, p 657  $< .001, R^2 = .23$ , but appeared to become more stable between 658

9, 9.5, and 11 months of age, rs(19) = .74 and .80, ps < .001, 659  $R^2 = .54$  and .58. These analyses with the present routines and 660 metrics compare favorably with results from Wass and Smith 661Q4 (2014), who reported test-retest reliability of r(20) = .37, p = 662.09 on SRTs obtained from typical 11-month-olds during 663 presentation of a noncompetition disengagement task. 664

#### Conclusion

In this report, we have demonstrated that when applied with 666 proper preprocessing and data quality checks, standardized and 667 automated computer routines can be applied for the analysis of 668 SRTs from eye-tracking data collected from poorly cooperating 669 participants. Our analyses also demonstrated that the SRT index 670 introduced in this study has moderate stability in infancy, 671 supporting the utility of this metric in quantifying individual 672 infant performance. It is important to note, however, the overall 673 success of the eye-tracking analysis continues to be a challenge 674 (i.e., percentage of data retained for final analysis), especially 675with younger infants. Also, an important limitation of the 676 present approach was that the temporal accuracy of the SRT 677 analysis was evaluated against low-resolution video data (30 678 fps). These limitations notwithstanding, the present data pro-679 vide support for the use of SRTs as an accessible, objective, and 680 widely applicable marker to examine neurocognitive function 681 in a variety of populations (Bar-Haim, 2010; Bar-Haim, Morag, 682 & Glickman, 2011; Chawarska et al., 2010; Elison et al., 2013; 683 Elsabbagh et al., 2009; Forssman et al., 2013; Hunnius et al., 684 2008; Scerif et al., 2005). 685

AcknowledgmentsWe gratefully acknowledge the efforts of the<br/>families who participated in the studies and Henna Salovaara,<br/>Mari Fadjukoff, Mia Huolman, and Katri Palmroth for their help<br/>in data collection. This research was supported by grants from the686<br/>689

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### AU 1010 13423 Rt DS73 1970 14 0 16/04 2014

Academy of Finland (#218284) and the European Research Coun-cil (# 283763).

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### AUTHOR QUERIES

#### **AUTHOR PLEASE ANSWER ALL QUERIES.**

- Q1. The citation "Wass et al., 2013" (original) has been changed to "Wass et al. 2013a, b". Please check if appropriate.
- Q2. "Forssman, Wass, & Leppänen, 2014" is cited in text but not given in the reference list. Please provide details in the list or delete the citation from the text.
- Q3. Missing citation for Figure 3 was inserted here. Please check if appropriate. Otherwise, please provide citation for Figure 3. Note that the order of main citations of figures in the text must be sequential.
- Q4. "Wass and Smith (2014)" is cited in text but not given in the reference list. Please provide details in the list or delete the citation from the text.
- Q5. References "McDowell et al. 2008" and "McDowell et al. 2008" based on original manuscript we received were identical. Hence, the latter was deleted and reference list and citations were adjusted. Please check if appropriate.
- Q6. "In press" was change to "2014" please check if correct.